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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Slaby et al.

Title: AN APPARATUS AND METHOD FOR CONTROLLING ACCESS TO A SERVICE OVER A COMMUNICATIONS SYSTEM

Docket No.: 1365.007US1

Filed: April 14, 2000

Examiner:

Serial No.: 09/550,324

Due Date: N/A

Group Art Unit: 2782

Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- X Communication Re: Incorrect Filing Receipt (1 pg.)
- X Copy of Updated Filing Receipt (1 pg.)
- X A return postcard.

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JAN 19 2001

Technology Center 2100

No Additional fee is required.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: Ann M. McCrackin  
Name: Ann M. McCrackin  
Reg. No. 42,858  
AMM1:CMG:slr

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 7 day of November, 2000.

ANN MCCRACKIN  
Name

Ann M. McCrackin  
Signature

S/N 09/550,324

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: John Slaby et al. Examiner: Unknown  
Serial No.: 09/550,324 Group Art Unit: 2782  
Filed: April 14, 2000 Docket: 1365.007US1  
Title: AN APPARATUS AND METHOD FOR CONTROLLING ACCESS TO A  
SERVICE OVER A COMMUNICATIONS SYSTEM

**COMMUNICATION RE: INCORRECT FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received October 27, 2000, (copy enclosed), the addresses listed for the applicants are incorrect. **The addresses should read: John Slaby, Windsor, United Kingdom; Thomas Loughlin, Balbriggan, Ireland; Henry Brankin, Delgany, Ireland.** This is evidenced by the signed Combined Declaration and Power of Attorney, (copy enclosed 4pgs.), filed with the Communication Re: Missing Parts September 28, 2000.

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

JOHN SLABY ET AL.

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By their Representatives,

JAN 19 2001

SCHWEGMAN, LUNDBERG, WOESSNER & KLOPP, <sup>Technology Center</sup> 2100  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 349-9592

Date Nov. 7, 2000 By Ann M. McCrackin  
Ann M. McCrackin  
Reg. No. 42,858  
AMM1:CMG:slr

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ANN MCCRACKIN  
Name

Ann M. McCrackin  
Signature

**COPY**

365.007251



UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/550,324	04/14/2000	2782	2246	491-048US1	6	67	10

21186

 SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH  
 P.O. BOX 2938  
 MINNEAPOLIS, MN 55402
**FILING RECEIPT**

\*OC000000005498122\*

N/A

Date Mailed: 10/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 John Slaby, Dublin, IRELAND;  
 Thomas Loughlin, Dublin, IRELAND;  
 Henry Brankin, Dublin, IRELAND;

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JAN 7 9 2001

**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A CON OF PCT/GB97/02850 10/16/1997

Technology Center 2100

**Foreign Applications**

If Required, Foreign Filing License Granted 06/27/2000

**Title**
 Apparatus and method for controlling access to a service over a communications system  
 Schwegman, Lundberg,  
 Woessner & Kluth, P.A.
**Preliminary Class**

710

OCT 27 2000

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Data entry by : WYATT, DEBRA

Team : OIPE

Date: 10/24/2000





## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON

Bib Data Sheet

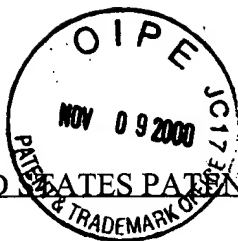
CONFIRMATION

<b>SERIAL NUMBER</b> 09/550,324	<b>FILING DATE</b> 04/14/2000 <b>RULE</b>	<b>CLASS</b> 710	<b>GROUP ART UNIT</b> 2782	<b>ATTOR DOCKE</b> 491.04
<b>APPLICANTS</b> John Slaby, Windsor, UNITED KINGDOM; Thomas Loughlin, Balbriggan, IRELAND; Henry Brankin, Delgany, IRELAND;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CON OF PCT/GB97/02850 10/16/1997 MHP				
<b>** FOREIGN APPLICATIONS *****</b> None MHP				
<b>IF REQUIRED, FOREIGN FILING LICENSE</b> <b>GRANTED ** 06/27/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged MHP Examiner's Signature Initials		<b>STATE OR COUNTRY</b> UNITED KINGDOM	<b>SHEETS DRAWING</b> 6	<b>TOTAL CLAIMS</b> 67 <b>INDEPENDENT CLAIMS</b> 10
<b>ADDRESS</b> 21186				
<b>TITLE</b> Apparatus and method for controlling access to a service over a communications system				
<b>FILING FEE RECEIVED</b> 2246	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John Slaby et al.

Title: AN APPARATUS AND METHOD FOR CONTROLLING ACCESS TO A SERVICE OVER A  
COMMUNICATIONS SYSTEM

Docket No.: 1365.007US1

Filed: April 14, 2000

Examiner: Unknown

Serial No.: 09/550,324

Due Date: June 14, 2000

Group Art Unit: 2782

Commissioner for Patents  
Washington, D.C. 20231

We are transmitting herewith the attached:

- X Transmittal sheet containing Certificate under 37 CFR 1.8 (1 pg.).
- X A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 (1 pg.)
- X Request for Refund (1 pg.)
- X A return postcard.

No Additional fee is required.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

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ANN MCCRACKIN  
Name

Ann McCrackin  
Signature

US PATENT & TRADEMARK  
OFFICE

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OFFICE OF REFUND  
BRANCH

S/N 09/550,324

#7  
PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: John Slaby et al.

Examiner: Unknown

Serial No.: 09/550,324

Group Art Unit: 2782

Filed: April 14, 2000

Docket: 1365.007US1

Title: AN APPARATUS AND METHOD FOR CONTROLLING ACCESS TO A  
SERVICE OVER A COMMUNICATIONS SYSTEM

**REQUEST FOR REFUND UNDER 37 C.F.R. § 1.28**

Commissioner for Patents  
Washington, D.C. 20231

In view of the enclosed Verified Statement Claiming Small Entity Status pertaining to the above-identified application, it is requested that a refund of \$1,161.00 be credited to Deposit Account 19-0743 for overpayment of the Filing Fee (\$2,082.00), Missing Parts Fee (\$130.00) and Extension Fee (\$110.00) for a large entity paid on September 28, 2000.

Please direct this communication to the Refund Section, Accounting Division, Office of Finance.

Respectfully submitted,

JOHN SLABY ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 349-9592

Date Nov. 7, 2000 By Ann M. McCrackin  
Ann M. McCrackin  
Reg. No. 42,858  
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